06618-896001 / CIT 2976-CII Attorney's Docket No.:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Nathan S. Lewis et al.

Art Unit: 1743

Serial No.: 09/842,204

Examiner :

Filed

: April 24, 2001

Title

: SPATIOTEMPORAL AND GEOMETRIC OPTIMIZATION OF SENSOR

ARRAYS FOR DETECTING ANALYTES FLUIDS

Commissioner for Patents Washington, D.C. 20231

TC 1700

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Each of the documents is either in the English language or includes an English language abstract, hence no concise explanation is necessary per Rule 97(c).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of

Typed Printed Name of Signing Person Certificate

Please apply any additional charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 12/27/02

Joseph R. Baker Reg. No. 40,900

Fish & Richardson P.C.

PTO Customer No. 20985 \* \*

4350 La Jolla Village Drive, Suite 500

San Diego, CA 92122

Telephone: (858) 678-5070 Facsimile: (858) 678-5099

10240674.doc

Information Disclosure Statement

octitute Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office

> by Applicant (Use several sheets if necessary)

Attorney's Docket No. 06618-896001

Application No. 09/842,204

Applicant

Nathan S. Lewis et al.

Filing Date

**Group Art Unit** 1743

1.98(b))

April 24, 2001

**U.S. Patent Documents** Examiner Document Publication Desig. Filing Date Initial ID Number Date Patentee Class Subclass If Appropriate AA 6,350,369 Feb 26, 2002 Lewis et al. AB 6,315,956 Nov 13, 2001 Foulger AC 6,305,214 Oct 23, 2001 Schattke et al. RECEIVED

JAN 6 2003

TO 1700 AD6,290,911 Lewis et al. Sept 18, 2001 AE 6,234,004 May 22, 2001 Revsbech et al. AF 6,170,318 Jan 9, 2001 Lewis AG 6,134,950 Oct 24, 2000 Forster et al. AH 6,134,461 Oct 17, 2000 Say et al. ΑI 6,103,033 Aug 15, 2000 Say et al. AJ 6,093,308 Jul 25, 2000 Lewis et al. AK 6,085,576 Jul 11, 2000 Sunshine et al. Feb 8, 2000 AL6,023,163 Flaum et al. AM 6,013,229 Jan 11, 2000 Lewis et al. Jan 4, 2000 Lewis et al. AN 6,010,616 AO 5,980,723 Nov 9, 1999 Runge-Marchese et al. AP 5,959,191 Sept 28, 1999 Lewis et al. 5,958,787 Sept 28, 1999 Schonfeld et al. AQ AR 5,951,846 Sept 14, 1999 Lewis et al. AS 5,913,235 June 15, 1999 Silenius et al. AT 5,911,872 June 15, 1999 Lewis et al. AU 5,891,398 April 6, 1999 Lewis et al. AV 5,879,827 March 9, 1999 Debe et al. AW 5,876,577 March 2, 1999 McAleer et al. AX 5,846,744 Dec 8, 1998 Athey et al. AY 5,807,701 Sept 15, 1998 Payne et al. ΑZ 5,841,021 Nov 24, 1998 De Castro et al. AAA 5,804,100 Sept 8, 1998 Angelopoulos et al.

Examiner Signature	Date Considered	

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449

(Olegarised)

Information

(Use seve

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06618-896001

Application No. 09/842,204

Information Disclosure Statement by Applicant (Use several sheets if necessary) Applicant Nathan S. Lewis et al.

Filing Date

Group Art Unit 1743

§1.98(b))

April 24, 2001

			U.S. Pate	nt Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ABB	5,801,297	Sept 1, 1998	Mifsud et al.			
	ACC	5,788,833	Aug 4, 1998	Lewis et al.	F	FCFI	/ED
	ADD	5,766,934	June 16, 1998	Guiseppi-Elie		IAM A n	YEU
	AEE	5,756,879	May 26, 1998	Yamagishi et al.		AHN A 3 5(	03
	AFF	5,705,265	Jan 6, 1998	Clough et al.		G 170	0
	AGG	5,698,089	Dec 16, 1997	Lewis et al.			
	АНН	5,674,752	Oct 7, 1997	Buckley et al.			
	AII	5,653,939	Aug 5, 1997	Hollis et al.			
	AJJ	5,627,329	May 6, 1997	Krishnan et al.			
	AKK	5,591,898	Jan 7, 1997	Mayer			
	ALL	5,571,401	Nov 5, 1996	Lewis et al.			
	AMM	5,536,473	Jul 16, 1996	Monkman et al.			
	ANN	5,519,147	May 21, 1996	Swager et al.		١	
	AOO	5,505,093	April 9, 1996	Giedd et al.			
	APP	5,498,372	Mar 12, 1996	Hedges			
	AQQ	5,466,348	Nov 14, 1995	Holm-Kennedy			
	ARR	5,425,869	June 20, 1995	Noding et al.			
	ASS	5,417,100	May 23, 1995	Miller et al.			
	ATT	5,415,893	May 16, 1995	Wiersma et al.			
	AUU	5,407,699	April 18, 1995	Myers			
	AVV	5,352,574	Oct 4, 1994	Guiseppi-Elie			
	AWW	5,335,555	Aug 9, 1994	Guizot et al.			
	AXX	5,302,274	April 12, 1994	Tomantachger et al.			
	AYY	5,286,414	Feb 15, 1994	Kampf et al.			
	AZZ	5,278,501	Jan 11, 1994	Guilfoyle			
	AAAA	5,253,329	Oct 12, 1993	Villareal et al.			
· · · · · · · · · · · · · · · · · · ·	ABBB	5,250,264	Oct 5, 1993	Walt et al.			

**Date Considered** 

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449
(Modified)
Information

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06618-896001

Application No. 09/842,204

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Nathan S. Lewis et al.

§1.98(b))

Filing Date April 24, 2001

Applicant

Group Art Unit 1743

	U.S. Patent Documents						
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	5,246,846	Sept 21, 1993	Pittner et al.			
	ADDD	5,225,110	July 6, 1993	Kathirgamanathan			
	AEEE	5,217,692	June 8, 1993	Rump et al.			
"	AFFF	5,215,820	June 1, 1993	Hosokawa et al.		Dro-	·
	AGGG	5,212,447	May 18, 1993	Paltiel		JAN P 3	IVFD
	АННН	5,159,829	Nov 3, 1992	Mayer et al.		JAN P a	2000
	AIII	4,927,502	May 22, 1990	Reading et al.	•	TC 1-	003
	AJJJ	4,914,608	April 3, 1990	LeBihan et al.		9 17	90
	AKKK	4,911,801	Mar 27, 1990	Pons et al.			
	ALLL	4,772,559	Sept 20, 1988	Preti et al.			
	AMMM	4,719,423	Jan 12, 1988	Vinegar et al.			
	ANNN	4,674,320	June 23, 1997	Hirschfeld			
	A000	4,644,154	Feb 17, 1987	Brogardh et al.			
	APPP	4,424,487	Jan 3, 1984	Lauffer et al.			
	AQQQ	4,349,664	Sept 14, 1982	Matsumura et al.			
	ARRR	4,225,410	Sept 30, 1980	Pace et al.			
	ASSS	4,172,721	Oct 30, 1979	Byrne			
	ATTT	3,677,071	July 18, 1972	Martin et al.			
	AUUU	6,017,440	Jan 25, 2000	Lewis et al.			
	AVVV	5,469,369	Nov 21, 1995	Rose-Pehrsson et al.			
	AWWW	6,028,608	Feb 22, 2000	Jenkins			

	Foreig	n Patent Doo	cuments or P	ublished Foreign	Patent A	Application	ns	
Examiner	Desig.	Document	Publication	Country or			Transla	ation
Initial	ID_	Number	Date	Patent Office	Class	Subclass	Yes	No
	AXXX	0 878 711A1	Nov 18, 1998	EP				
	AYYY	0 717 418A2	June 19, 1996	EP				
	AZZZ	11-94784	April 9, 1999	JP			Abstract only	

Examiner Signature	)
--------------------	---

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06618-896001

Application No. 09/842,204

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant Nathan S. Lewis et al.

Filing Date

Group Art Unit

§1.98(b)

April 24, 2001

1743

	Foreig	n Patent Doc		ublished Foreign	Patent A	Application	ns	
Examiner	Desig.	Document	Publication	Country or			Transla	ation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AAAAA	63-308807	Dec 16, 1988	ЛР			Abstract only	
	ABBBB	63-120733	May 25, 198	JP			Abstract only	
	ACCCC	62-257968	Nov 10, 1987	JP			Abstract only	
	ADDDD	WO 00/33062	June 8, 2000	WIPO	H	ECEV	/ED	
	AEEEE	WO 00/26638	May 11, 2000	WIPO	JA	ECEN No 3 200	-0	
	AFFFF	WO 00/00808	Jan 6, 2000	WIPO	Tr	*8 =	13	
	AGGGG	WO 99/67627	Dec 29, 1999	WIPO	1	110	0	
	АНННН	WO 99/66304	Dec 23, 1999	WIPO				
	AIIII	WO 99/61902	Dec 2, 1999	WIPO				_
1 111	AJJJJ	WO 99/53300	Oct 21, 1999	WIPO		-		
	AKKKK	WO 99/53287	Oct 21, 1999	WIPO		-		
	ALLLL	WO 99/47905	Sept 23, 1999	WIPO				
	AMMMM	WO 99/40423	Aug 12, 1999	WIPO				
	ANNNN	WO 99/08105	Feb 18, 1999	WIPO				
-	A0000	WO 99/00663	Jan 7, 1999	WIPO				
	APPPP	WO 96/07901	Mar 14, 1996	WIPO				
	AQQQQ	WO 95/08113	Mar 23, 1995	WIPO			Abstract only	
	ARRRR	WO 94/24561	Oct 27, 1994	WIPO				
	ASSSS	WO 90/09027	Aug 9, 1990	WIPO				
	ATTTT	WO 86/01599	Mar 13, 1986	WIPO				
	AUUUU							

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig.					
Initial	ID	Document				
	AVVVV	Baldacci, et al., "Discrimination of Wine Using Taste and Smell Sensors", Sensors and Materials, Vol. 10, No. 3, pp. 185-200 (1998)				

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant.	ot in conformance and not considered. Include copy of this form with

Situatitute Form PTO-1449 (Modified) 1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06618-896001

Application No. 09/842,204

**Information Disclosure Statement by Applicant** (Use several sheets if necessary)

Applicant Nathan S. Lewis et al.

Filing Date

Group Art Unit April 24, 2001 1743

	Other Do	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	Hard Control of the C
Initial	ID_	Document
	AWWWW	chicago, IL, June 16-19, 1997, vol. 2, pp. 1391-1394IEEE,
	AXXXX	Bodenhofer et al., "Performances of Mass-Sensitive Devices for Gas Sensing: Thickness Shear Mode and Surface Acoustic Wave Transducers", <u>Anal. Chem.</u> , Vol. 68, No. 13, pp. 2210-2218 (July 1, 1996)
	AYYYY	Breheret et al., "On-line differentiation of mushrooms aromas by combined Headspace/multi-odour gas sensors devices", <u>Bioflavour 95</u> , Dijon, France (Les Colloques, no. 75), pp. 103-107, (February 14-17, 1995)
	AZZZZ	Bruschi et al., "Gas sensing with conducting polymer thin film resistors obtained from commercial photoresist patterns", Proceedings of the First Italian Conference, (1996), pp 69-73
	AAAAA	Butterworth, et al., "Zeta Potential measurements on Conducting Polymer-Inorganic Oxide Nanocomposite Particles", <u>Journal of Colloid and Interface Science</u> , Vol. 174, pp. 510-517, (1995)
	ABBBBB	Casella, et al., "Copper dispersed into polyaniline films as an amperometric sensor in alkaline solutions of amino acids and polyhydric compounds", <u>Analytica Chimica Acta</u> , Vol 335, pp. 217-225, (1996)
	ACCCCC	Chandiok et al., "Screening for bacterial vaginosis: a novel application of artificial nose technology", J. Clin. Pathol., Vol. 50, pp. 790-791 (1997)
	ADDDDD	Costello, et al., "Novel composite organic-inorganic semiconductor sensors for the quantitative detection of target organic vapours", J. Mater. Chem., Vol 6, no. 3, pp. 289-294 (1996)
	AEEEEE	Dickinson, et al., "Generating Sensor Diversity through Combinatorial Polymer Synthesis", Anal. Chem. Vol. 69, pp. 3413-3418, 1997
	AFFFFF	Doleman, et al., "Quantitative Study of the Resolving Power of Arrays of Carbon Black-Polymer composites in Various Vapor-Sensing Tasks", Anal. Chem, Vol 70, pp. 4177-4190, 1998
	AGGGGC	Domansky, et al., "Development and Calibration of Field-Effect Transistor-Based Sensor Array for Measurement of Hydrogen and Ammonia Gas Mixtures in Humid Air", <u>Anal. Chem.</u> , Vol. 70, No. 3, pp. 473-481 (February 1, 1998)
	АНННН	Forsyth, et al., "Dielectric properties of conductive composites at microwave frequencies", New Horizons for Materials, 2995, pp. 279-285 (1995)
	AIIIII	Jiang, et al., "Preparation and Properties of Organic Polymer Sub-Micrometer Function Films", <u>Electrets</u> , 1996, 9 <sup>th</sup> International Symposium on Shanghai, China, September 25-30, 1996, NY, pp. 678-683
·	AJJJJJ	Laranjeira et al., "A conductimetric system based on polyaniline for determination of ammonia in fertilizers", Analytical Letters, Vol. 30, no. 12, pp. 2189-2209 (1997)
	AKKKK	Lefebvre, et al., "Chemical Synthesis, Characterization, and Electrochemical Studies of Poly (3,4,-ethylenedioxythiophene)/Poly(styrene-4-sulfonate) Composites", Chem. Mater., Vol. 11, No. 2, pp. 262-268 (1999)
	ALLLLL	Lipman, "E-noses nose out tradiitonal odor-detection equipment", <u>EDN</u> , pp. 59-66, December 17, 1998
	АММММІ	Luinge, et al., "Trace-level identity confirmation from infrared spectra by library searching and artificial neural networks", Analytica Chimica Acta, Vol. 345, pp. 173,-184, 1997
	ANNNNN	Meister et al., "Polymer-Oxide-Silicon-Field-Effect-Transistor (POSFET) as sensor for gases and vapors", Electrochemical Society Proceedings, Vol 97-9, pp 16-22

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	

Substitute Form PTO-1449 1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06618-896001

Application No. 09/842,204

1743

**Information Disclosure Statement** by Applicant

Applicant Nathan S. Lewis et al.

(Use several sheets if necessary)

Filing Date April 24, 2001 Group Art Unit

xaminer Initial	Desig.	ocuments (include Author, Title, Date, and Place of Publication)
imuai		Document  Moy et al., "Transient signal modelling for fast odour classification", Bioflavour 95, Dijon, France
	A0000d	(Les Colloques, no. 75), pp. 55-58, (February 14-17, 1995)
		Neaves et al., "A new generation of integrated electronic noses", Sensors and Actuators, B 26-27,
	APPPPP	pp. 223-231 (1995)
	AQQQQ	Partch, et al., "Conducting Polymer Composites", American Chemical Society, pp. 368-386 (1992)
	ARRRR	Paulsson, et al., "Breath alcohol, multi sensor arrays and electronic noses", <u>SPIE</u> , Vol. 2932, pp. 84 90 (3/97)
	ASSSSS	Preti, "Analysis of lung air from patients with bronchogenic carcinoma and controls using gas chromatography-mas spectrometry", Journal of Chromatography, Vol. 432, pp 1-11 (1988)
		Rajeshwar, et al., "Polypyrrole composits containing platinum or carbon black: from synthesis to
	ATTTTT	novel applications", <u>Polymer Preprints, American Chemical Society</u> , Vol. 35, No. 1, pp. 234-235, March, 1994)
	AUUUUU	Simenhoff, et al. "Biochemical profile of uremic breath", The New England Journal of Medicine, Vol. 297, no. 3, pp. 132-135 (July 21, 1977)
	AVVVVV	Stussi, et al., "Chemoresistive conducting polymer-based odour sensors: influence of thickness changes on their sensing properties", Sensors and Actuators, Vol. B43, pp. 180-185, (1997)
	wwww	Thackeray, et al., "Chemically Responsive Microelectrochemical Devices Based on Platinized Pol- (3-methylthiophene): Variation in conductivity with Variation in Hydrogen, Oxygen, or pH in Aqueous solution", February 14-17, 1995, J. Phys. Chem. February 14-17, 1995, Vol. 90, no. 25, pp 6674-6679 (November 25, 1986)
	AXXXX	Tourillon, et al., "Dispersive X-Ray Spectroscopy for Time-Resolved In Situ Observatin of Electrochemical Inclusion of Metallic Clusters within a conducting polymer", <u>Physical Review Letters</u> , Vol. 57, No. 5, pp. 603-606 (August 4, 1986)
	AYYYYY	Udrea et al., "Design of a silicon microsensor array device for gas analysis", Microelectronics Journal, Vol. 27, no. 6, pp. 449-457 (1996)
	AZZZZZ	Wampler, "Composites of Polypyrrole and Carbon Black. 2. Electrosynthesis, Characterization, an Influence of Carbon Black Characteristics", Chem. Mater. Vol. 7, No. 3, pp. 585-592 (1995)
	AAAAA.	Veciana-Nogues, et al., "Biogenic Amines as Hygienic Quality Indicators of Tuna, Relationships with Microbial Counts, ATP-Related Compounds, Volatile Amines and Organoleptic Changes", J. Agric. Food Chemi., vol. 45, No. 6, pp. 2036-2041 (1997)
	ABBBBBI	Yamoto et al., "A new method for dispersing palladium icroparticles in conducting polymer films and its application to biosensors", Synthetic Metals, Vol. 87, pp. 231-236, (1997)
	ACCCCC	Slater, et al., "Multi-layer Conducting Polymer Gas Sensor Arrays for Olfactory Sensing", Analyst Vol. 118, pp. 379-384 (April, 1993)
	ADDDDD1	Pearce, et al., "Electronic Nose for Monitoring the Flavour of Beers", Analyst, Vol. 118, pp. 371-377 (April, 1993)
	AEEEEEE	Lonergan, et al., "Array-Based Vapor Sensing Using chemically Sensitive, Carbon Black-Polymer Resistors", Chem. Mater., Vol. 8, No. 9, pp. 2298-2312, (November 9, 1996)
	AFFFFF	Freund, et al., "A chemically diverse conducting polymer-based 'electronic nose", <u>Proc. Natl. Aca Sci.</u> , Vol. 92, pp. 2652-2656 (March, 1995)
	AGGGGG	Wehrens, et al., "Calibration of an array of voltammetric microelectrodes", <u>Analytica chimica Acta</u> No. 334, pp. 93-101, 1996

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if	not in conformance and not considered. Include copy of this form with
next communication to applicant.	not in comornance and not considered. Include copy of this form with

Substitute Form PTO-1449

(Use seve

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 06618-896001

Application No. 09/842,204

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant Nathan S. Lewis et al.

Filing Date

Group Art Unit

R §1.98(b))

April 24, 2001

1743

	Other Documents (include Author, Title, Date, and Place of Publication)			
ſ	Examiner	Desig.		
	Initial	ID	Document	
		аннннн	Williams, et al., "Resolving combustible gas mixtures using gas sensitive resistors with arrays of electrodes", J. Chem. Soc., Faraday Trans., Vol. 92, No. 22, pp. 4497-4504, 1996	

RECEIVED
TO 17003

Examiner Signature Date Considered